

Risk Management Framework

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Living our values every day




Accountable



Integrity



Empower



Respect



Excellence



Risk Management Framework

Introduction

- Risk moved from ARA into PP&C service – Nov 20
- Outline of today's discussion - our risk management approach:
 - 3 lines of assurance model
 - Roles and responsibilities
 - Key documents and tools
 - Direction of travel



3 lines of assurance

1st line

Risks are owned & managed

- Managers and teams
- Service and project plans
- Regular reporting
- Training and toolkits

2nd Line

Functions that support & challenge

- Support services
- Risk Management Group
- Directors oversight
- Member oversight

3rd Line

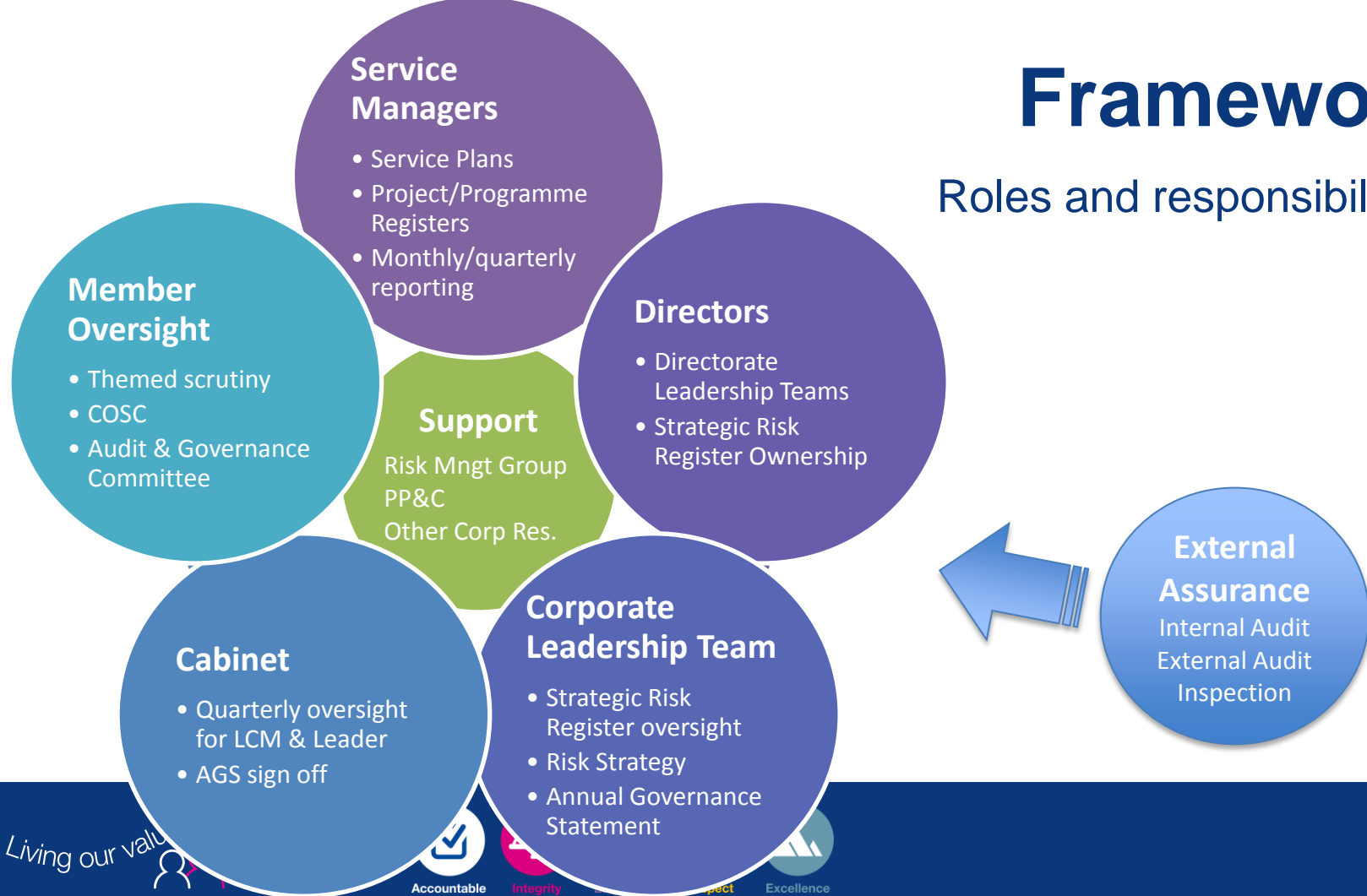
Independent assurance

- Internal Audit
- External audit
- Inspection



Framework

Roles and responsibilities



Key documents

Annual Governance Statement

- Statutory review of governance issues and risk
- Published annually alongside the accounts (*Cabinet sign off*)

Risk Strategy and Policy

- Statutory publication of the organisations risk framework
- 3 year strategy, annually reviewed, (*Audit & Governance sign off*)

Strategic Risk Register

- Quarterly reported strategic level risks
- Risks owned/reported by Directors, *reviewed by CLT & COSC*



Future Direction

Our current improvement plan:

- Risk Management Group development
- Better presentation of risk info to CLT and COSC
- Testing that the framework is working in practice
 - Are we escalating risk appropriately?
 - Are we doing what we say we do?
- On the Audit plan for 2021/22



Further Information

- Staffnet
 - Risk Management pages
 - Toolkits/
 - Risk Strategy
- GCC website
 - Annual Governance Statement
- Darren Skinner – Head of Planning, Performance & Improvement

