

**Audit and Governance Committee**

**Friday 29 September 2023 at 10.00 am**

**Council Chamber - Shire Hall, Gloucester**



**AGENDA**

<b>1</b>	<b>APOLOGIES</b>	Andrea Griffiths
<b>2</b>	<b>DECLARATIONS OF INTEREST</b>	Andrea Griffiths
<b>3</b>	<b>PUBLIC QUESTIONS</b>  To answer any written public questions about matters which are within the powers and duties of the Audit & Governance Committee. Up to 30 minutes is allowed for this item  The closing date for receipt of questions is 10am on Friday 22nd September 2023	Andrea Griffiths
<b>4</b>	<b>MEMBER QUESTIONS</b>  The closing date for receipt of questions is 10am on Friday 22nd September 2023	Andrea Griffiths
<b>5</b>	<b>MINUTES OF THE PREVIOUS MEETING</b> (Pages 1 - 10)  The Committee is asked to approve the minutes of the meeting held on the 21st July 2023.	Andrea Griffiths
<b>6</b>	<b>COUNTY COUNCIL AUDIT PLAN</b> (Pages 11 - 40) The Committee is asked to note the report.	Liam Royle
<b>7</b>	<b>GRANT THORNTON AUDIT FINDINGS REPORT - PENSION FUND</b> (Pages 41 - 66)  The Committee is asked to note the report.	Liam Royle
<b>8</b>	<b>PENSION FUND 2022/23 LETTER OF REPRESENTATION</b> (Pages 67 - 70)	Paul Blacker

	The Committee is asked to note the letter of representation.	
<b>9</b>	<b>TREASURY MANAGEMENT UPDATE REPORT</b> (Pages 71 - 90) The Committee is asked to note the report.	Gareth Rees
<b>10</b>	<b>INTERNAL AUDIT ACTIVITY PROGRESS REPORT</b> (Pages 91 - 106) The Committee is asked to note the report.	Piyush Fatania
<b>11</b>	<b>DATA PROTECTION OFFICER REPORT</b> (Pages 107 - 120) The Committee is asked to note the report.	Jenny Grodzicka
<b>12</b>	<b>LGSCO COMPLAINTS REPORT</b> (Pages 121 - 134) The Committee is asked to note the report.	Rob Ayliffe
<b>13</b>	<b>ANNUAL HEALTH &amp; SAFETY REPORT</b> (Pages 135 - 150) The Committee is asked to note the report.	Stuart Heggs
<b>14</b>	<b>EXCLUSION PRESS OF PUBLIC</b> That in accordance with Section 100 A (4) of the Local Government Act 1972 the public be excluded from the meeting for the following agenda items, because it is likely that if members of the public were present there would be disclosure to them of exempt information as defined in paragraph 3 of Part 1 of Schedule 12 A to the Act and the public interest in withholding the information outweighs the public interest in disclosing the information to the public.	Cllr John Bloxsom
<b>15</b>	<b>DISASTER RECOVERY PROGRESS REPORT</b> (Pages 151 - 158) The Committee is asked to note the report.	Mandy Quayle
<p><b>Membership –</b> Cllr Matt Babbage, Cllr John Bloxsom (Chair), Cllr Andrew Gravells MBE, Cllr Tim Harman, Cllr Colin Hay, Cllr Alex Hegenbarth, Cllr Stephen Hirst, Cllr Alan Preest, Cllr Brian Tipper, Cllr Chloe Turner and Cllr Dr David Willingham David Clowes</p> <p>Independent Person - David Clowes</p>		

- (a) **DECLARATIONS OF INTEREST** – Members requiring advice or clarification about whether to make a declaration of interest are invited to contact the Director of Policy, Performance and Governance, Rob Ayliffe ☎01452 328198 /fax: 425149/ e-mail: [rob.ayliffe@gloucestershire.gov.uk](mailto:rob.ayliffe@gloucestershire.gov.uk)) prior to the start of the meeting.
- (b) **INSPECTION OF PAPERS AND GENERAL QUERIES** - If you wish to inspect minutes or reports relating to any item on this agenda or have any other general queries about the meeting, please contact: Andrea Griffiths, Senior Democratic Services Adviser ☎:01452 324206/e-mail: [andrea.griffiths@gloucestershire.gov.uk](mailto:andrea.griffiths@gloucestershire.gov.uk)
- (c) **GENERAL ARRANGEMENTS**

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**EVACUATION PROCEDURE** - in the event of the fire alarms sounding during the meeting please leave as directed in a calm and orderly manner and go to the assembly point which is **outside the main entrance to Shire Hall in Westgate Street**. Please remain there and await further instructions.