



**Gloucestershire**

COUNTY COUNCIL

**AUDIT AND GOVERNANCE  
COMMITTEE**

**10.00 am**

**FRIDAY**

**21 JANUARY 2022**

**Virtual Meeting - Web ex meeting**

**MEETING PAPERS**



## AUDIT AND GOVERNANCE COMMITTEE

**TIME:** 10.00 am  
**DATE:** Friday 21 January 2022  
**VENUE:** Virtual Meeting - Web ex meeting

### A G E N D A

ITEM	TOPIC	CONTACT
1.	<b>Apologies</b>	Andrea Griffiths
2.	<b>Declarations of Interest</b>	Andrea Griffiths
3.	<b>Public Questions</b> Up to 30 minutes is allowed for this item.  To answer any written public questions about matters which are within the powers and duties of the Audit & Governance Committee.  The closing date for receipt of questions is 10am on Friday 14 January 2022. Please send questions for the attention of Andrea Griffiths	Andrea Griffiths
4.	<b>Member Questions</b> Up to 30 minutes is allowed for this item.  To answer any written public questions about matters which are within the powers and duties of the Audit & Governance Committee.  The closing date for receipt of questions is 10am on Friday 14 January 2022. Please send questions for the attention of Andrea Griffiths.	Andrea Griffiths
5.	<b>Minutes of the previous meeting (Pages 1 - 16)</b> The Committee is asked to approve the minutes of the previous meeting.	Andrea Griffiths
6.	<b>Grant Thornton Audit Progress Report (Pages 17 - 40)</b> The Committee is asked to note the report.	Alex Walling

7. **Treasury Management Strategy** (Pages 41 - 70)  
The Committee is asked to note the report. Paul Blacker
8. **Annual Governance Statement Action Plan Update** (Pages 71 - 80)  
The Committee is asked to review the progress reported against the key issues identified. Darren Skinner
9. **Risk Management Strategy** (Pages 81 - 98)  
The Committee is asked to endorse the revised RMPS&S 2022-2025; and makes any recommendations for amendments or improvement. Darren Skinner
10. **Internal Audit Activity Progress Report** (Pages 99 - 136)  
The Committee is asked to note the report. Piyush Fatania
11. **GFRS: Internal Audit Final Progress Report** (Pages 137 - 154)  
The Committee is asked to review and considers the actions taken to address the recommendations made and progress to date. Piyush Fatania
12. **Limited Assurance Report** (Pages 155 - 160)  
The Committee is asked to note the report. Philip Williams
13. **Anti Bribery Policy & Money Laundering Policy** (Pages 161 - 168)  
The Committee is asked to note the report. Paul Blacker
14. **GCC Complaints: Policy, System & Culture** (Pages 169 - 176)  
The Committee is asked to note the report. Rob Ayliffe
15. **Local Government & Social Care Ombudsman's Annual Review Letter** (Pages 177 - 188)  
The Committee is asked to note the report. Bonnie Styles
16. **Whistle Blowing Annual Report** (Pages 189 - 194)  
The Committee is asked to note the report. Rob Ayliffe
17. **Member Code of Conduct Annual Report** (Pages 195 - 202)  
The Committee is asked to note the report. Rob Ayliffe
18. **Appointment of External Auditors for Statement of Accounts** (Pages 203 - 218)  
That the Committee recommends to Full Council for consideration at its meeting scheduled for 16th February 2022 acceptance of the Public Sector Audit Appointments' invitation to opt into the sector-led option for the appointment of external auditors to principal local government and police bodies for five financial years from 1 April 2023. Paul Blacker

## **NOTES**

- (a) **MEMBERSHIP** – Councillors Cllr Matt Babbage, Cllr John Bloxson, Cllr Stephan Fifield, Cllr Colin Hay, Cllr Alex Hegenbarth, Cllr Stephen Hirst, Cllr Alan Preest, Cllr Brian Tipper, Cllr Chloe Turner, Cllr Susan Williams and Cllr Dr David Willingham
- (b) **DECLARATIONS OF INTEREST** – Members requiring advice or clarification about whether to make a declaration of interest are invited to contact the Monitoring Officer: Rob Ayliffe ☎ 01452 328506 e-mail: [rob.ayliffe@gloucestershire.gov.uk](mailto:rob.ayliffe@gloucestershire.gov.uk) prior to the commencement of the meeting.