



**Gloucestershire**

COUNTY COUNCIL

**AUDIT AND GOVERNANCE  
COMMITTEE**

**10.00 am**

**TUESDAY**

**28 SEPTEMBER 2021**

**Council Chamber - Shire Hall, Gloucester**

**MEETING PAPERS**



## AUDIT AND GOVERNANCE COMMITTEE

**TIME:** 10.00 am  
**DATE:** Tuesday 28 September 2021  
**VENUE:** Council Chamber, Shire Hall, Gloucester

### A G E N D A

ITEM	TOPIC	CONTACT
1.	<b>Apologies</b>	Andrea Griffiths
2.	<b>Declarations of Interest</b>	Andrea Griffiths
3.	<b>Public Questions</b> Up to 30 minutes is allowed for this item.  To answer any written public questions about matters which are within the powers and duties of the Audit & Governance Committee.  The closing date for receipt of questions is 10am on Tuesday, 21 September 2021. Please send questions for the attention of Andrea Griffiths :email <a href="mailto:andrea.griffiths@gloucestershire.gov.uk">andrea.griffiths@gloucestershire.gov.uk</a>	Andrea Griffiths
4.	<b>Member Questions</b> Up to 30 minutes is allowed for this item.  To answer any written member questions about matters which are within the powers and duties of the Audit & Governance Committee.  The closing date for receipt of questions is 10am on Tuesday, 21 September 2021. Please send questions for the attention of Andrea Griffiths : email <a href="mailto:andrea.griffiths@gloucestershire.gov.uk">andrea.griffiths@gloucestershire.gov.uk</a>	Andrea Griffiths
5.	<b>Minutes of the previous meeting (Pages 1 - 10)</b>  To approve as a correct record the minutes of the meeting held on 30 <sup>th</sup> July 2021.	Andrea Griffiths

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|-----|---|-----------------|
| 6.  | <b>Objection to accounts regarding waste contract - External auditor's report</b> (Pages 11 - 58)   | John Gregory    |
| 7.  | <b>Grant Thornton - General Enquiries of Management GCC &amp; Pension Fund</b> (Pages 59 - 88)<br>This items was circulated to the Committee via email on the 5 <sup>th</sup> August 2021 for comment. The Committee is asked to note the reports.  | Alex Walling    |
| 8.  | <b>Grant Thornton Audit Findings for GCC &amp; Pension Fund</b> (Pages 89 - 148)<br>The Committee is asked to note the reports.   | Alex Walling    |
| 9.  | <b>Annual Statement of Accounts</b> (Pages 149 - 318)<br>The Committee is asked to approve the Statement of Accounts for the year ended 31st March 2021, including the Gloucestershire Pension Fund Accounts 2020/21.<br><br>The Executive Director of Corporate Resources and Chair of the Committee to sign the attached letter of representation, in Annex B, on behalf of the Council.<br><br>The Executive Director of Corporate Resources and Chair of the Committee to sign the attached letter of representation, in Annex C, on behalf Gloucestershire Pension Fund. | Steve Mawson    |
| 10. | <b>Annual Governance Statement &amp; Local Code of Corporate Governance</b> (Pages 319 - 358)<br>The Committee is asked to review and approve the Annual Governance Statement and the actions planned by the Council to further enhance good governance arrangements; and agree that an update on actions taken to address the governance issues identified will be provided to the January 2022 meeting of this committee.   | Darren Skinner  |
| 11. | <b>Treasury Management Mid-Year Report</b> (Pages 359 - 374)<br>The Committee is asked to note the Treasury Management Update Report.   | Paul Blacker    |
| 12. | <b>Finance Procedure Rules</b> (Pages 375 - 394)<br>The Committee is asked to note the changes in the report; and approve the proposed changes.   | Paul Blacker    |
| 13. | <b>Internal Audit Progress Report</b> (Pages 395 - 434)<br>The Committee is asked to review and approve the recommendation.   | Piyush Fatania  |
| 14. | <b>Annual Report on Request Management</b> (Pages 435 - 442)<br>The Committee is asked to note the report.  | Jenny Grodzicka |

## **NOTES**

- (a) **MEMBERSHIP** – Cllr Matt Babbage, Cllr John Bloxsom, Cllr David Drew, Cllr Stephan Fifield, Cllr Colin Hay, Cllr Alex Hegenbarth, Cllr Stephen Hirst, Cllr Alan Preest, Cllr Brian Tipper, Cllr Chloe Turner, Cllr Susan Williams and Cllr Dr David Willingham
- (b) **DECLARATIONS OF INTEREST** – Members requiring advice or clarification about whether to make a declaration of interest are invited to contact the Monitoring Officer: Rob Ayliffe ☎01452 328506/e-mail: [rob.ayliffe@gloucestershire.gov.uk](mailto:rob.ayliffe@gloucestershire.gov.uk) prior to the commencement of the meeting.

## **GENERAL ARRANGEMENTS**

- (1) *Will Members please sign the attendance list.*

***EVACUATION PROCEDURE - in the event of the fire alarms sounding during the meeting please leave as directed in a calm and orderly manner and go to the assembly point which is outside the main entrance to Shire Hall in Westgate Street. Please remain there and await further instructions.***