

Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Governance Committee	Comments
Strategic Finance	Payroll – Duplicate bank accounts	1	Planned				
Strategic Finance	Pro Contract – Entering Contracts	2	Audit in Progress				
Strategic Finance	Sole Source Approvals	1	Planned				Terms of Reference issued.
Strategic Finance	Bank Reconciliations	1	Planned				
Strategic Finance	Strategic Risk Register Controls Testing	1	Audit in Progress				
Strategic Finance	Payment Card Industry - Data Security Standard (PCI DSS)	2	Planned				
Strategic Finance	Purchase Cards	2	Planned				
Strategic Finance	Purchase 2 Pay	2	Consultancy				To be reported in the 2019/20 annual report.
Strategic Finance	Purchases in Compliance with Contract Standing Orders	1	Audit in Progress				
Strategic Finance	Compliance with Cabinet decision making protocols	1	Planned				
Strategic Finance	Disposal of Assets	1	Planned				
Strategic Finance	Annual Governance Statement (AGS) - Statements Testing	1	Audit in Progress				
Strategic Finance	Construction Industry Scheme - Operations	2	Planned				
Strategic Finance	Highways Block Maintenance	1	Audit in Progress				
Strategic Finance	Highways Maintenance Incentive Element	1	Audit in Progress				
Strategic Finance	Additional Highways Maintenance	1	Audit in Progress				
Strategic Finance	Pothole Action Fund	1	Audit in Progress				
Strategic Finance	National Productivity Investment Fund	1	Final Report Issued	Not Applicable	Not Applicable	11/10/2019	
Strategic Finance	Safer Roads Fund 18-19 Payment	1	Audit in Progress				
Strategic Finance	Bus Subsidy Ring-Fenced (Revenue) Grant	1	Audit in Progress				
Strategic Finance	Community Capacity Grant	1	Final Report Issued	Not Applicable	Not Applicable	11/10/2019	
Strategic Finance	Troubled Families Grant 1	1	Planned				
Strategic Finance	Troubled Families Grant 2	1	Planned				
Strategic Finance	Growth Hub	1	Final Report Issued	Not Applicable	Not Applicable	11/10/2019	
Strategic Finance	Flood Resilience Fund	1	Planned				
Strategic Finance	Equal Pay	1	Planned				Brought forward from 2018/19 plan.
Strategic Finance	Safer Recruitment	1	Audit in Progress				
Strategic Finance	Expenses and Benefits	2	Planned				
Strategic Finance	Sickness Absence Recording	2	Planned				
Strategic Finance	Approval of Payments for Agency Staff limited assurance follow up	1	Final Report Issued	Satisfactory	Limited	11/10/2019	Brought forward from 2018/19 plan.
Strategic Finance - ICT	ITDR Follow Up	1	Draft Report Issued				Brought forward from 2018/19 plan.
Strategic Finance - ICT	Sopra Steria Improvement Plan	1	Planned				Brought forward from 2018/19 plan.
Strategic Finance - ICT	Asset Replacement Programme/Windows 10 upgrade - roll out of new devices	1	Planned				
Strategic Finance - ICT	Asset Replacement Programme/Windows 10 upgrade - collection and disposal of end of life devices	1	Planned				
Strategic Finance - ICT	Database Administration and Security (Oracle - SQL) limited assurance follow up	1	Planned				
Strategic Finance - ICT	Non approved ICT provision	1	Audit in Progress				Brought forward from 2018/19 plan.
Adults	Brokerage	2	Planned				Brought forward from 2018/19 plan.
Adults	Direct Payments - Payment Cards	1	Final Report Issued	Satisfactory	Satisfactory	11/10/2019	Brought forward from 2018/19 plan.
Adults	Market Management	2	Audit in Progress				Brought forward from 2018/19 plan.
Adults	Winter Planning-Discharge Beds	1	Final Report Issued	Satisfactory	Satisfactory	11/10/2019	Brought forward from 2018/19 plan.
Adults	Learning Disabilities Transitions (Children to Adults)	2	Planned				
Adults	Leased Based Providers of Specialist Supported Housing	1	Consultancy				New activity. To be reported in 2019/20 annual report.
Adults	Adults Single Programme	1	Planned				
Adults	Client Affairs	2	Planned				
Adults	Disabilities Hub Job Coaching Brokerage	2	Planned				
Adults	Disabled Facilities Grant	1	Planned				
Adults	Social Care (Capital) Grant	1	Final Report Issued	Not Applicable	Not Applicable	11/10/2019	
Adults	Transforming Care Grant	1	Cancelled				No new grant funding streams within 2018/19. Internal audit for year end 2018/19 grant return not required.
Adults	Liberty Protection Safeguards	2	Planned				
Adults	Electronic Call Monitoring (ECM)	1	Audit in Progress				Brought forward from 2018/19 plan.

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Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Governance Committee	Comments
Adults	GIS Equipment System	2	Planned				
Adults	Best Value Policy	2	Planned				
Adults	Direct Payments (Adults)	1	Planned				
Children & Families	Unregulated Placements and Packages of Support	1	Audit in Progress				Brought forward from 2018/19 plan.
Children & Families	Youth Service - Care Leaving Service Limited Assurance Follow Up	1	Planned				
Children & Families	Caseload Management	1	Final Report Issued	Not Applicable	Not Applicable	11/10/2019	
Children & Families	Quality Assurance and Performance Framework	1	Audit in Progress				Brought forward from 2018/19 plan.
Children & Families	Section 17 spend including No Recourse to Public Funds (NRPF)	1	Draft Report Issued				Brought forward from 2018/19 plan.
Children & Families	Personal Travel Allowances	1	Planned				
Children & Families	Adoption Support Fund	1	Planned				
Children & Families	Direct Payments (Childrens)	2	Planned				
Children & Families	Health Assessments	2	Planned				
Children & Families	Recruitment of Foster Carers	2	Planned				
Children & Families	Transition from Children's to Adults Services including Costs	2	Planned				
Children & Families	Discretionary Payments to Foster Carers	2	Audit in Progress				
Children & Families	PACE Protocols	2	Planned				
Children & Families	Section 20 - Children's Act limited assurance follow up	1	Final Report Issued	Substantial	Satisfactory	11/10/2019	Brought forward from 2018/19 plan.
Communities and Infrastructure	Registration Service – Income Collection	2	Planned				
Communities and Infrastructure	Procurement of Short Term Transport Arrangements for Social Care Users Limited Assurance Follow Up	1	Planned				
Communities and Infrastructure	Integrated Transport	1	Audit in Progress				
Communities and Infrastructure	Growth Deal – review of projects under the Council's Accountable Body role	2	Planned				
Communities and Infrastructure	Section 106 Agreements	1	Planned				
Communities and Infrastructure	Parking Management Services - Contract Management Arrangements	2	Planned				
Communities and Infrastructure	Traffic Signals – Asset Management and Replacement	2	Planned				
Communities and Infrastructure	Highways Term Maintenance Contract	2	Planned				
Communities and Infrastructure	Energy from Waste contract	2	Planned				
Communities and Infrastructure	Fleet Management	1	Planned				Brought forward from 2018/19 plan.
Strategy & Challenge - ICT	ERIC replacement	1	Planned				Brought forward from 2018/19 plan.
Strategy & Challenge - ICT	JADU eforms	1	Planned				Brought forward from 2018/19 plan.
Strategy & Challenge - ICT	Capita 360	1	Final Report Issued	Satisfactory	Satisfactory	11/10/2019	Brought forward from 2018/19 plan.
Strategy & Challenge	General Data Protection Regulation (GDPR) Compliance	1	Final Report Issued	Satisfactory	Satisfactory	11/10/2019	Brought forward from 2018/19 plan.
Community Safety	Business Continuity Management Critical Systems - Services - Corporate Oversight	1	Planned				
Community Safety	GFRS HR and Payroll – Expenses and service benefits	1	Final Report Issued	Limited	Limited	11/10/2019	
Community Safety	GFRS Fleet management – use of pool cars, personal & leased cars and fuel schemes	1	Final Report Issued	Limited	Limited	11/10/2010	Brought forward from 2018/19 plan.
Community Safety	GFRS Syrian Refugee Grant	1	Final Report Issued	Satisfactory	Limited	11/10/2019	
Community Safety	Fire and Rescue Authorities Grant	1	Final Report Issued	Not Applicable	Not Applicable	11/10/2019	
Community Safety	GFRS Action Plan - Limited Assurance Follow Up Reviews	1	Planned				The Head of ARA to discuss timings of the follow up reviews with the Chief Fire Officer.
Education	Alternative Provision Schools - Stroud and Cotswolds	2	Final Report Issued	Limited	Limited	11/10/2019	
Education	Schools Whistleblowing	1	Final Report Issued	Satisfactory	Satisfactory	11/10/2019	Brought forward from 2018/19 plan.
Education	Schools	2	Audits in Progress				Outcomes relating to schools audits to be reported in 2019/20 annual report.
Education	Virtual School	2	Planned				
Education	Deficit Officers Group	2	Audit in Progress				
Education	Spending on High Needs	2	Planned				
Education	Childrens Centre - Cotswolds	2	Planned				
Education	Education Commissioning - Specialist	2	Planned				
Pensions	GDPR	1	Final Report Issued	Satisfactory	Satisfactory	11/10/2019	Brought forward from 2018/19 plan.
Pensions - ICT	Pensions Information and Cyber Security	1	Final Report Issued	Satisfactory	Satisfactory	11/10/2019	Brought forward from 2018/19 plan.
Pensions	LGPS - Life Certificate Process	1	Planned				
Pensions	Management of LGPS	1	Planned				