

Dedicated Schools Grant 2019/20

Schools' Forum Date	10 th January 2019
Type of Decision	For decision
Background Documents	Schools Forum reports on school funding 20 th September 2018 and 15 th November 2018 Secretary of State's announcement on additional funding for SEND link . Dedicated Schools Grant 2019/20 link
Author	Stewart King, lead commissioner for education strategy and development Neil Egles, finance manager – schools strategy and capital
Purpose of Report	To report on the implications of the announcements on the Dedicated Schools Grant for 2019/20 and to make recommendations on the final funding formula for mainstream schools
Key Recommendations	<p>The Forum is asked to:</p> <ol style="list-style-type: none"> 1. note the Dedicated Schools Grant settlement for 2019/20 and the additional funding for SEND. 2. note the latest trends on high needs spending 3. agree to carry forward any DSG deficit following the decision to not transfer funds from the schools block to the high needs block and resulting from the increase in high needs costs 4. agree to hold a seminar on high needs on a date to be agreed 5. recommend the council to agree the school funding formula for 2019/20 as set out in Annex C. 6. Early years: it is recommended that the Forum <ol style="list-style-type: none"> a. Agrees to the hourly rates for 2, 3 and 4 year olds to remain at the same level as 2018/19 b. Agrees to the quality supplement being de-delegated to the Local Authority to enable all early providers to have access to advice and information on quality standards c. Agrees to set the target for central costs at the maximum level.
Resource Implications	The 2019/20 DSG announced on 17 th December 2018 totals £451.1m. This represents an increase in the total DSG of £10.3m (2.3%) from 2018/19

1 Introduction

This paper updates the Forum on the Dedicated Schools Grant for 2019/20. The Forum will now need to make recommendations on the funding formula for mainstream schools and early years providers in the light of the settlement and the latest position on high needs.

2 Dedicated Schools Grant

2.1 Summary

The DfE announced the DSG for 2019/20 on the 17th December 2018 and confirmed there will still be 4 separate allocation blocks within it for Schools, Central School Services, High Needs and Early Years.

The 2019/20 position for Gloucestershire is:

DSG Movement 2018/19 to 2019/20	Schools	Central School Services	High Needs	Early Years	Total DSG
	£m	£m	£m	£m	£m
2018/19 DSG at December 2018	348.425	2.568	58.919	32.246	440.808
Change to 2019/20	7.880	-0.009	1.042	0.001	10.264
2019/20 DSG announced 17th December 2018	356.305	2.559	59.961	32.247	451.072
% change from 2018/19	2.26%	-0.35%	1.77%	0.00%	2.33%

This represents an increase of £10.3m (2.3%) from the current 2018/19 DSG and includes the additional funding for high needs that was announced at the same time.

Where a specific unit of funding has been used within a block formula these are shown for all LAs in **Annex A**, along with where that LA is ranked nationally. It should be noted however that these funding units are only part of the funding a LA receives as there are other historic (non unit) based elements that cannot be ranked.

Gloucestershire's position out of 149 authorities is also shown below:

2019/20 schools block <u>Primary</u> unit of funding	Ranking (1=high, 149 low)	2019/20 schools block <u>Secondary</u> unit of funding	Ranking (1=high, 149 low)	2019/20 CSSB unit of funding	Ranking (1=high, 149 low)	2019/20 High Needs Block ACA-weighted basic entitlement factor unit rate	Ranking (1=high, 149 low)
£3,973.10	89	£4,952.91	130	£31.94	92	£4,037.88	63

The increase in the schools block can be broken down further as follows:

Schools Block Increase	£m
Increase in pupil numbers	4.1
Increase in the funding unit rates	3.3
Decrease in premises funding	-0.3
Increase in the growth fund allocation.	0.8
Total	7.9

2.2 Growth fund

As explained at the November 2018 Schools Forum meeting, in 2019/20 the DfE has introduced a new method for funding the growth element within the schools block. This is no longer based on planned growth, but instead allocated on a lagged basis based on positive growth between census dates using middle super output areas.

The 2019/20 growth allocation for Gloucestershire has been calculated using the new method as follows:

Aggregation of positive primary and secondary growth by middle super output area (MSOA) between Oct 17 & Oct 18. Note: Negative growth in a MSOA is ignored.			
Area	Positive Primary growth	Positive Secondary growth	Positive Total Growth
Cheltenham Total	137.00	108.50	245.50
Cotswold Total	50.50	177.50	228.00
Forest of Dean Total	76.00	103.00	179.00
Gloucester Total	91.00	251.50	342.50
Stroud Total	82.50	182.50	265.00
Tewkesbury Total	174.00	31.00	205.00
Grand Total	611.00	854.00	1,465.00

Growth allocation in Schools Block	Primary	Secondary	19/20 Total
Observed Growth	611	854	1,465
Unit Value	£1,370	£2,050	
Area Cost Adjustment (ACA)	1.00617506693245		
19/20 Allocation for pupil growth	£842,239	£1,761,511	£2,603,750
Allocation for new schools	0	0	0
19/20 Total Growth Allocation	£842,239	£1,761,511	£2,603,750

The DfE have said their growth allocation should not be used to decide the growth top-slice from the schools block or funding of new and growing schools, but instead they recommend LAs and schools should continue to determine their own planned growth needed for the year and base their funding allocations on that.

Gloucestershire's expected requirement for growth costs in 2019/20 is £1.6m as shown below:

	<u>18/19</u>	<u>19/20</u>	<u>Change</u>
	£m	£m	£m
Cost of extra NOR funding for new growing schools	0.8	0.6	-0.2
Growth fund top-sliced from schools block	1.0	1.0	0.0
Estimated total cost of growth	1.8	1.6	-0.2
Growth allocation received in schools block	1.8	2.6	0.8
Balance for use within delegated formula	0.0	1.0	1.0

This means the £1.0m balance not needed for growth can be used as part of the 2019/20 delegated funding formula to schools.

3 Schools

3.1 The overall funding position for schools and high needs - recap

At its September meeting the Forum considered the position on schools and high needs funding but opted not to make recommendations on the funding formula for 2019/20 at that stage.

The council issued a consultation paper to schools at the end of October setting out proposals to change the protection arrangements for schools with exceptional numbers of high needs pupils together with a range of options for transferring funding from the Schools Block to High Needs.

At its November meeting the Forum:

- agreed the change in the protection arrangements from 1 in 75 to 1 in 40
- did not agree any transfer to high needs
- did not feel able to offer advice on how to address the high needs funding problem

The consultation concluded on 27th November and the results were circulated to Forum members.

In the light of the financial position and the outcome of the consultation the council then submitted an appeal to the Secretary of State for a 0.25% transfer from the Schools Block to High Needs.

3.2 High Needs

On 16th December the Secretary of State announced some additional funding for high needs totalling £350m: £100m capital and £250m revenue. Gloucestershire will receive an additional £1.35m revenue funding in 2018/19 and the same amount again in 2019/20. After consultation with Cabinet members the appeal to the Secretary of State was withdrawn.

However the pressure on the high needs budget remains and the forecast position for 2018/19 has worsened further - reflecting the national picture. The forecast now shows an overspend, allowing for the £1.35m addition announced on 16th December, of £4.7m, reduced to £2.7m once the High Needs carry forward reserve has been applied. Please note that the forecast includes additional cash advances to the Alternative Provision schools on the basis that the deficits at the AP schools are unrecoverable and will need to be written off at some point. A full report will be presented to the next Forum meeting.

The updated statement is shown at **Annex B**. The key concerns other than AP continue to be:

- placements at independent special schools
- significant rise in the number of EHCPs and the associated cost of mainstream top-up payments , particularly primary
- special school places

The summary position on high needs now is:

	2018/19 £m	2019/20 £m
Balance b/fwd	-2.0	2.7
High Needs block allocation	-58.9	-60.0
Projected spending	63.6	61.0
Balance c/fwd (deficit)	2.7	3.7

NB spending for 2019/20 allows for the change to 1 in 40 and efficiency savings of £0.4m but with spending otherwise unchanged from 2018/19 i.e. this is a likely best case scenario.

The additional £2.7m high needs funding eases the deficit to a degree but recovering the position remains heavily dependent on:

- a favourable Spending Review settlement for 2020/21 onwards
- and
- finding strategic solutions locally

Forum members may find it helpful to refer again to the June to September consultation on high needs and subsequent report circulated on 11th December. It is also suggested that, given the gravity of the situation, that the Forum brings forward the March meeting to February, to consider the high needs budget issues in greater depth.

Recommendations

The Forum is asked to:

1. **note the Dedicated Schools Grant settlement for 2019/20 and the additional funding for SEND.**
2. **note the latest trends on high needs spending**
3. **agree to carry forward any DSG deficit following the decision to not transfer funds from the schools block to the high needs block and resulting from the increase in high needs costs**
4. **agree to hold a seminar on high needs on a date to be agreed**

3.3 School Funding Formula

In line with previous Schools Forum discussions the 2019/20 delegated formula has based on the following:

- Allocation of all the schools Block funding remaining after the deduction for the growth fund.
- Using all the DfE's updated data provided on the 17th December 2018 e.g. October 2018 pupil census and other census data.
- Including the national reduction the DfE have made to the primary prior attainment allocation due to the increase in pupils counted as a result of the new count method.
- Applying an MFG of 0%.
- Using the formulas from the National Funding Formula (NFF) where allowed within the ESFA's Authority Proforma Tool (APT). This includes the NFF 1% funding floor and alternative gains CAP methods that were not available in 2018/19.

A percentage reduction of **0.46%** has then been necessary in order to avoid a **£0.8m** overspend of the schools block. the reduction has been applied to all the NFF factors including the minimum funding levels of £4,800 secondary, £3,500 primary; the lump sum, sparsity maximum allocations; split site allocations; the 3% CAP; and all pupil led factors, in order to balance back to the funding available.

The main reasons a percentage reduction has been needed is because:

- The APT (that the LA has to use when submitting school funding formulas) has not previously allowed the exact NFF formulas to be replicated.
- The APT uses an MFG that is not part of the NFF so some previous funding differences are now being protected by the funding floor protection and MFGs in 2019/20.

This means the funding shortfall cannot be taken from those protected schools and those who are not protected are therefore having to bear more of the £0.8m shortfall via the 0.46% reduction.

If changes in pupil numbers for additional factors such as deprivation, prior attainment and EAL are at a higher percentage increase than the NOR increase this

can also result in a shortfall in the funding needed or additional MFG protections for schools.

A summary of the 2019/20 funding formula proposed for submission to the ESFA is shown under **Annex C**.

The number of schools that receive uplifts for MFG or MFL, or have capping reductions through that formula are shown below, along with the 2018/19 position for comparison:

	2018/19			2019/20		
	Primary	Secondary	Total	Primary	Secondary	Total
MFG	58	12	70	70	10	80
CAP	106	3	109	67	0	67
MFL	1	12	13	9	21	30

Further details summarising key changes between 2018/19 and 2019/20 are also shown at **Annex D**

The 2019/20 completed APT needs to be submitted to the ESFA by 21st January 2019. It is then subject to approval by the ESFA before 2019/20 budgets are notified to individual schools by 28th February 2019.

Recommendation 5

The Forum is asked to recommend the council to agree the school funding formula for 2019/20 as set out in Annex C.

4 Early Years

The initial total for the Early Years block for 2019/20 is £32.25 million and includes the Early Years pupil premium and the disability access fund. The total will be updated following the January 2019 and 2020 census. The £0.1 million increase in the funding is due to a very small rise in the disability access fund.

It is recommended that the hourly rates for 2, 3 and 4 year olds remain the same as 2018/19 at

- 2 year olds a hourly rate of £4.91
- 3 and 4 year olds hourly rate of £3.91 plus an allowance for deprivation and quality.

It is recommended that the £0.02 per hour quality supplement continues to be de-delegated to the Local Authority to enable providers to have access to relevant advice and information on quality.

The Early Years regulations limited the cost of central services to 5% from 2018/19. It is recommended that the Forum agree to the budget for central costs being set at the maximum level (circa £1.6m). This will continue to fund an element of children and family centres, Early Years quality team and supporting overheads.

Early years providers have faced cost pressures from the impact of increases in teachers pay and pensions, the national living wage and support staff pay. It may be helpful for the Early Years representatives on the Forum to add some commentary at the meeting on the impact of these cost pressures.

Recommendation 6: That the Forum

- **Agrees to the hourly rates for 2, 3 and 4 year olds to remain at the same level as 2018/19**
- **Agrees to the quality supplement being de-delegated to the Local Authority to enable all early providers to have access to advice and information on quality standards**
- **Agrees to set the target for central costs at the maximum level**

5 Central School Services Block (CSSB)

The CSSB for 2019/20 is £2.559m and is based on an average unit of funding of £31.94 reduced from £32.41 (-1.5% reduction) with 90% based on per pupil funding and 10% on a deprivation factor. The unit of funding is then multiplied by the number of mainstream pupil numbers on the October 2018 census, which for Gloucestershire was 80,115 pupils. Due to the increase in pupil numbers the net decrease in 2019/20 is only £9,279.

These funds cover the following costs:-

Central School Services Block	£M
Copyright licences	0.483
Schools Forum	0.127
Admissions Coordination	0.750
Centrally Retained Duties	1.199
TOTAL	2.559

2019/20 DSG Units of Funding by LA and Ranking out of 149

Annex A

2019/20 units of funding	2019-20 schools block Primary unit of funding (£s)	Ranking (1=highest)	2019-20 schools block Secondary unit of funding (£s)	Ranking (1=highest)	2019-20 CSSB unit of funding (£s)	Ranking (1=highest)	High Needs Block 2019-20 ACA-weighted basic entitlement factor unit rate (£s)	Ranking (1=highest)
ENGLAND	4,098.81		5,294.65		33.64		4,120.43	
Bury	3,938.00	99	4,961.47	126	25.84	143	4,032.83	64
Calderdale	4,076.78	66	5,124.61	86	32.19	87	4,000.97	98
Cambridgeshire	3,832.89	130	4,951.01	131	29.14	129	4,077.21	56
Camden	5,389.25	5	6,928.20	7	38.57	34	4,822.53	1
Central Bedfordshire	3,857.62	121	5,006.52	114	32.59	82	4,094.28	45
Cheshire East	3,863.18	119	4,930.55	136	31.21	105	4,021.82	75
Cheshire West and Chester	4,009.41	83	5,034.21	107	38.98	31	4,021.82	75
Cornwall	3,989.71	88	5,030.28	109	24.72	144	4,000.00	104
Coventry	4,199.93	38	5,537.22	35	32.99	72	4,020.32	80
Croydon	4,293.34	28	5,460.88	41	56.91	4	4,348.33	26
Cumbria	4,184.82	40	5,045.61	101	30.40	115	4,000.00	104
Darlington	3,892.98	112	5,119.70	88	32.65	81	4,000.00	104
Derby	3,935.26	100	5,152.79	80	28.06	135	4,000.00	104
Derbyshire	3,971.40	90	5,002.23	116	29.23	128	4,000.00	104
Devon	3,906.84	108	4,916.57	139	26.87	139	4,000.00	104
Doncaster	4,033.66	81	5,287.90	65	32.82	76	4,000.00	104
Dorset	3,805.00	138	4,889.42	143	34.57	56	4,000.00	104
Dudley	4,077.30	65	5,119.13	89	37.34	39	4,020.32	80
Durham	4,227.34	34	5,253.15	70	32.88	74	4,000.00	104
Ealing	4,430.73	23	6,018.65	19	44.68	15	4,595.55	13
East Riding of Yorkshire	3,904.59	109	4,927.09	137	34.38	58	4,000.00	104
East Sussex	3,794.80	139	5,031.74	108	31.88	93	4,010.18	90
Enfield	4,444.11	21	5,765.04	24	39.57	28	4,348.33	26
Essex	3,842.73	127	5,018.47	111	37.10	41	4,075.77	58
Gateshead	4,073.76	67	5,066.09	96	32.70	79	4,000.00	104
Gloucestershire	3,973.10	89	4,952.91	130	31.94	92	4,037.88	63
Greenwich	4,907.34	14	6,596.44	13	37.82	35	4,822.53	1
Hackney	5,908.79	2	7,872.97	1	36.26	45	4,822.53	1
Halton	4,166.39	44	5,463.90	40	34.19	59	4,021.82	75
Hammersmith and Fulham	5,208.92	10	7,011.63	5	63.27	3	4,822.53	1
Hampshire	3,811.20	136	4,934.55	135	30.49	114	4,085.30	52
Haringey	5,000.54	13	6,858.83	8	89.34	1	4,497.43	16
Harrow	4,137.79	49	5,637.89	29	36.64	43	4,446.45	19
Hartlepool	4,266.91	31	5,391.53	52	33.89	63	4,000.00	104
Havering	4,054.28	74	5,494.97	36	35.23	52	4,348.33	26
Herefordshire	4,067.27	68	4,991.33	119	31.10	107	4,000.00	104
Hertfordshire	3,911.99	104	5,089.41	94	34.15	60	4,210.10	37
Hillingdon	4,278.46	30	5,697.71	27	33.72	67	4,446.45	19
Hounslow	4,339.44	25	5,812.80	22	37.19	40	4,446.45	19
Isle of Wight	4,036.41	80	5,145.86	83	40.14	23	4,085.30	52
Islington	5,250.48	9	6,962.29	6	46.63	10	4,822.53	1
Kensington and Chelsea	5,324.58	7	6,749.35	10	46.43	11	4,822.53	1
Kent	3,792.86	140	4,940.51	133	32.09	89	4,030.81	74
Kingston Upon Hull, City of	4,126.70	53	5,579.63	31	46.93	9	4,000.00	104
Kingston upon Thames	4,057.44	73	5,172.17	78	36.13	47	4,446.45	19
Kirklees	4,133.88	50	5,303.16	61	33.99	61	4,000.97	98
Knowsley	4,233.26	33	5,397.95	48	39.71	25	4,006.74	92
Lambeth	5,471.45	4	7,394.57	4	31.51	100	4,822.53	1
Lancashire	4,063.52	69	5,096.98	93	30.16	119	4,000.00	104
Leeds	4,000.42	86	5,293.69	63	32.70	79	4,000.97	98
Leicester	4,097.59	60	5,440.69	43	32.73	78	4,000.00	104
Leicestershire	3,825.23	132	4,876.13	147	26.75	140	4,000.00	104
Lewisham	5,041.65	12	6,722.65	11	40.37	22	4,822.53	1
Lincolnshire	3,913.59	103	5,068.63	95	31.75	94	4,000.00	104
Liverpool	4,450.83	20	5,574.23	32	30.10	120	4,006.74	92
Luton	4,215.09	35	5,493.38	38	34.46	57	4,094.28	45
Manchester	4,578.46	17	6,083.83	17	42.65	19	4,032.83	64
Medway	3,843.66	126	5,122.58	87	18.33	147	4,004.25	97
Merton	4,331.14	26	5,860.25	21	33.76	65	4,595.55	13
Middlesbrough	4,279.27	29	5,757.30	25	36.14	46	4,000.00	104
Milton Keynes	3,920.04	101	5,035.56	106	34.93	54	4,172.48	43
Newcastle upon Tyne	4,124.39	55	5,441.64	42	32.05	90	4,000.00	104
Newham	5,363.43	6	6,711.34	12	35.21	53	4,497.43	16
Norfolk	4,126.92	51	5,063.76	98	29.66	123	4,000.00	104
North East Lincolnshire	4,162.77	45	5,398.32	47	45.12	13	4,000.00	104
North Lincolnshire	4,011.79	82	5,149.24	81	32.29	85	4,000.00	104
North Somerset	3,805.98	137	4,972.19	123	32.82	76	4,087.90	48
North Tyneside	3,860.44	120	5,304.68	60	29.44	125	4,000.00	104
North Yorkshire	4,082.31	63	4,954.41	129	33.23	70	4,000.00	104
Northamptonshire	3,856.15	122	5,050.80	99	31.26	104	4,019.76	87
Northumberland	4,087.98	61	5,042.49	103	33.27	69	4,000.00	104
Nottingham	4,501.87	18	5,943.44	20	36.04	48	4,016.63	88
Nottinghamshire	3,946.45	96	5,013.12	113	28.28	134	4,016.63	88
Oldham	4,201.57	36	5,306.58	59	33.57	68	4,032.83	64
Oxfordshire	3,909.60	105	4,971.46	124	29.31	127	4,133.48	44
Peterborough	4,050.29	75	5,358.44	57	33.95	62	4,077.21	56

Annex A Continued

2019/20 units of funding	2019-20 schools block Primary unit of funding (£s)	Ranking (1=highest)	2019-20 schools block Secondary unit of funding (£s)	Ranking (1=highest)	2019-20 CSSB unit of funding (£s)	Ranking (1=highest)	High Needs Block 2019-20 ACA-weighted basic entitlement factor unit rate (£s)	Ranking (1=highest)
Plymouth	3,909.03	107	5,101.29	92	31.29	103	4,000.00	104
Portsmouth	4,062.95	70	5,394.97	51	32.84	75	4,085.30	52
Reading	3,971.23	91	5,223.35	73	32.97	73	4,208.94	38
Redbridge	4,037.49	77	5,372.22	55	41.57	20	4,348.33	26
Redcar and Cleveland	4,087.87	62	5,212.44	74	33.18	71	4,000.00	104
Richmond upon Thames	3,853.54	123	5,289.35	64	31.03	110	4,446.45	19
Rochdale	4,187.29	39	5,574.01	33	35.55	50	4,032.83	64
Rotherham	4,001.11	85	5,494.74	37	28.05	136	4,000.00	104
Rutland	3,819.86	133	4,827.39	149	30.37	116	4,000.00	104
Salford	4,178.48	41	5,377.75	54	28.68	131	4,032.83	64
Sandwell	4,310.35	27	5,469.66	39	32.15	88	4,020.32	80
Sefton	4,002.10	84	5,116.25	90	16.99	148	4,006.74	92
Sheffield	4,036.48	79	5,064.33	97	29.49	124	4,000.00	104
Shropshire	4,058.84	72	4,906.25	141	29.34	126	4,000.00	104
Slough	4,138.53	48	5,594.78	30	21.15	146	4,297.74	33
Solihull	3,838.24	128	4,994.22	118	31.57	99	4,020.32	80
Somerset	3,916.95	102	4,909.10	140	31.44	102	4,000.00	104
South Gloucestershire	3,683.06	147	4,960.38	127	31.66	97	4,087.90	48
South Tyneside	4,126.21	54	5,257.67	68	26.96	138	4,000.00	104
Southampton	4,037.09	78	5,418.74	45	46.14	12	4,085.30	52
Southend-on-Sea	3,958.23	94	5,254.47	69	32.45	84	4,021.30	79
Southwark	5,537.79	3	7,756.04	3	44.49	16	4,822.53	1
St Helens	3,961.76	92	5,147.67	82	29.09	130	4,006.74	92
Staffordshire	3,865.24	118	4,966.39	125	30.61	112	4,000.00	104
Stockport	3,818.10	134	4,985.59	120	34.76	55	4,032.83	64
Stockton-on-Tees	3,991.42	87	5,161.37	79	25.91	142	4,000.00	104
Stoke-on-Trent	4,099.48	59	5,379.09	53	35.38	51	4,000.00	104
Suffolk	3,909.60	105	5,014.62	112	23.90	145	4,000.14	103
Sunderland	4,102.64	58	5,234.12	71	28.63	132	4,000.00	104
Surrey	3,815.39	135	5,025.39	110	35.80	49	4,297.74	33
Sutton	4,104.09	57	5,141.16	85	36.35	44	4,446.45	19
Swindon	3,789.21	141	5,042.79	102	31.10	107	4,043.13	60
Tameside	4,141.62	47	5,274.27	66	28.99	137	4,032.83	64
Teiford and Wrekin	3,889.38	113	5,177.63	77	41.07	21	4,000.00	104
Thurrock	3,868.90	117	5,225.69	72	29.68	122	4,181.01	42
Torbay	3,888.62	114	5,048.43	100	51.50	6	4,000.00	104
Tower Hamlets	5,922.81	1	7,861.06	2	53.57	5	4,822.53	1
Trafford	3,751.03	144	5,211.27	75	40.12	24	4,032.83	64
Wakefield	4,126.84	52	5,144.40	84	31.14	106	4,000.97	98
Walsall	4,251.40	32	5,395.50	49	30.52	113	4,020.32	80
Waltham Forest	4,439.61	22	6,046.15	18	39.67	26	4,348.33	26
Wandsworth	5,068.14	11	6,334.56	14	39.34	29	4,822.53	1
Warrington	3,942.67	98	4,975.14	122	29.69	121	4,021.82	75
Warwickshire	3,827.80	131	4,957.66	128	37.48	38	4,042.14	62
West Berkshire	3,898.73	110	4,936.23	134	43.11	18	4,208.94	38
West Sussex	3,740.97	145	4,888.67	144	32.25	86	4,044.66	59
Westminster	5,283.58	8	6,775.56	9	49.51	7	4,822.53	1
Wigan	4,060.69	71	5,269.22	67	14.71	149	4,032.83	64
Wiltshire	3,849.15	124	4,885.94	146	31.63	98	4,043.13	60
Windsor and Maidenhead	3,896.83	111	5,040.23	105	44.71	14	4,297.74	33
Wirral	3,954.24	95	5,295.27	62	31.04	109	4,006.74	92
Wokingham	3,770.19	143	4,886.71	145	39.27	30	4,208.94	38
Wolverhampton	4,150.26	46	5,544.87	34	32.46	83	4,020.32	80
Worcestershire	3,872.46	116	4,975.38	121	31.46	101	4,000.00	104
York	3,678.62	149	4,864.38	148	30.93	111	4,000.00	104

Annex B

High Needs Forecast 2018/19 and 2019/20 as at 10th January 2019 Forum

Descriptions	18/19	Virements	Revised Budget	Forecast spend and recoupment	Estimated in-year variance	Balance b/fwd	Deficit Balance c/fwd
	Original Budget						
Early Years Quality Assurance	0	250,734	250,734	241,149	-9,585		
Individual learner costs-Early Years	805,110	-773,745	31,365	30,000	-1,365		
Individual learner costs-Hospital	1,619,359	0	1,619,359	1,590,624	-28,735		
Individual learner costs-Independent Special Schools	8,599,691	0	8,599,691	9,599,691	1,000,000		
Individual learner costs-Pre 16 College Courses	549,883	0	549,883	550,000	117		
Individual learner Costs-Topups Alternative Provision	1,722,279	0	1,722,279	3,159,432	1,437,153		
Individual learner Costs-Topups Colleges	3,944,369	0	3,944,369	4,132,614	188,245		
Individual learner Costs-Topups GCC Mainstream in other LA Schools	691,600	0	691,600	841,601	150,001		
Individual learner Costs-Topups Mainstream-Primary	6,318,835	0	6,318,835	8,150,000	1,831,165		
Individual learner Costs-Topups Mainstream-Secondary	4,297,052	0	4,297,052	4,400,000	102,948		
Individual learner Costs-Topups Special Centres-Primary	145,980	0	145,980	161,980	16,000		
Individual learner Costs-Topups Special Centres-Secondary	34,800	0	34,800	35,790	990		
Individual learner Costs-Topups Special Schools	9,043,341	-24,167	9,019,174	9,133,556	114,382		
IRIS Education Support	50,000	0	50,000	73,980	23,980		
Place costs-Alternate Provision Places	2,186,667	18,331	2,204,998	2,204,998	0		
Place Costs-College & FE Places	1,878,000	0	1,878,000	1,878,000	0		
Place costs-Post 16 HN in Mainstream element 2	108,273	0	108,273	108,273	0		
Place costs-Special Centre Places-Primary	420,000	0	420,000	420,000	0		
Place costs-Special Centre Places-Secondary	76,000	0	76,000	76,000	0		
Place costs-Special School Places	10,490,000	24,167	10,514,167	11,514,167	1,000,000		
Safeguarding High Needs	52,000	0	52,000	26,000	-26,000		
Support Costs-Advisory Teaching Service	1,534,587	-67,000	1,467,587	1,625,308	157,721		
Support Costs-Excluded Pupil Income for GCC element	0	0	0	-193,910	-193,910		
Support Costs-Other specialist provision e.g. ABA	107,650	0	107,650	0	-107,650		
Support Costs-SEN Development Plan & Projects	402,002	-402,002	0	0	0		
Support Costs-SEN Reorganisation Support Fund	115,872	0	115,872	39,324	-76,548		
Support Costs-Special Equipment	61,200	0	61,200	61,200	0		
Support Costs-Support Costs (including Overheads)	1,111,113	674,309	1,785,422	1,828,563	43,141		
Support Costs-Therapy Support	258,093	0	258,093	258,093	0		
Support Costs-Virtual School	610,417	0	610,417	609,934	-483		
Support Costs-Vulnerable children's service/EEI/Targeted School Improvement	849,379	317,704	1,167,083	1,100,815	-66,268		
Unallocated High Needs DSG	-516,157	1,332,964	816,807	0	-816,807		
2018/19 Current estimate	57,567,395	1,351,295	58,918,690	63,657,182	4,738,492	-2,010,000	2,728,492
19/20 Adjustments							
Extra HN budget			1,042,715	0	-1,042,715		
Estimated savings from change to 1:40 Primary				-1,465,660	-1,465,660		
Estimated savings from change to 1:40 Secondary				-828,871	-828,871		
HN efficiency savings expected in 19/20				-400,000	-400,000		
Current 19/20 estimated position			59,961,405	60,962,651	1,001,246	2,728,492	3,729,738

Annex C

Schools and academies budget allocations before de-delegation	Units	Rate	Primary	Secondary	Total	% of delegated budget
		£	£	£	£	
Pupil led Factors:						
Basic Entitlement Primary (Age Weighted Pupil Unit)	47,662	£2,734.22	130,317,085		130,317,085	36.7%
Basic Entitlement KS3 (Age Weighted Pupil Unit)	19,888	£3,844.70		76,463,301	76,463,301	21.5%
Basic Entitlement KS4 (Age Weighted Pupil Unit)	12,732	£4,365.42		55,580,572	55,580,572	15.6%
Total AWPUP (October 2018 pupils)	80,282		130,317,085	132,043,873	262,360,958	73.9%
Deprivation Primary (FSM ever 6)	8,898	£537.49	4,782,578		4,782,578	1.4%
Deprivation Secondary (FSM ever 6)	5,927	£781.35		4,631,165	4,631,165	1.4%
Deprivation Primary (FSM)	5,380	£437.95	2,356,020		2,356,020	0.7%
Deprivation Secondary (FSM)	3,038	£437.95		1,330,507	1,330,507	0.4%
IDACI F Primary	4,244	£199.07	844,947		844,947	0.3%
IDACI F Secondary	2,604	£288.65		751,528	751,528	0.3%
IDACI E Primary	3,770	£238.88	900,711		900,711	0.3%
IDACI E Secondary	2,191	£388.19		850,690	850,690	0.3%
IDACI D Primary	1,906	£358.33	682,800		682,800	0.2%
IDACI D Secondary	1,021	£512.61		523,349	523,349	0.2%
IDACI C Primary	1,876	£388.19	728,059		728,059	0.2%
IDACI C Secondary	1,058	£557.40		589,922	589,922	0.2%
IDACI B Primary	2,253	£418.05	942,028		942,028	0.3%
IDACI B Secondary	1,258	£597.21		751,465	751,465	0.2%
IDACI A Primary	322	£572.33	184,517		184,517	0.1%
IDACI A Secondary	206	£806.23		166,212	166,212	0.1%
Prior Attainment (Y1-6 Early Years Foundation Stage Profile + Y5-6 Low Attainment <78)	17,155	£1,017.25	17,451,166		17,451,166	5.1%
Prior Attainment (Y7 - Y9 LPA + Y10-11 SATs <L4 Eng or Mths)	6,110	£1,542.80		9,426,430	9,426,430	2.8%
English as Additional Language (3 year EAL)	2,377	£512.61	1,218,620		1,218,620	0.4%
English as Additional Language (3 year EAL)	345	£1,378.56		475,082	475,082	0.1%
Total Pupil Led delegations			160,408,533	151,540,224	311,948,757	87.8%
School Leds Factors:		£	£	£	£	
Lump Sum	246	£109,489	26,934,218		26,934,218	8.8%
Lump Sum	40	£109,489		4,379,548	4,379,548	1.4%
Sparsity	30	£24,884	581,497		581,497	0.2%
Sparsity	5	£64,698		171,018	171,018	0.1%
Total Other Formula factors			27,515,714	4,550,566	32,066,280	9.0%
Premises:			£	£	£	
Split Sites		<i>3 schools @ £43,687 + £58/pupil</i>	158,814		158,814	0.0%
Rates		<i>Currently based on 1920 estimates + RIS adjustments</i>	2,346,801	1,159,093	3,505,893	1.0%
Exceptional Premises Factor - Rents		<i>Currently based on 1920 estimates</i>	147,190	0	147,190	0.0%
Total Premises			2,652,805	1,159,093	3,811,897	1.1%
Total budget allocations before ACA, MFG, Cap, minimum pupil funding uplift and de-delegation			190,577,052	157,249,882	347,826,934	97.9%

Annex C Continued

Area Cost Adjustment			£	£	£		
Pupil Led & School Led (exc premises)	1.00618		1,161,425	963,871	2,125,297	0.6%	
Total Area Cost Adjustment (exc premises)			1,161,425	963,871	2,125,297	0.6%	
Total budget allocations before MFG, CAP, Minimum Per Pupil Funding Uplift and De-delegation			191,738,477	158,213,753	349,952,231	98.5%	
Minimum Per Pupil Funding Uplift			£	£	£		
Additional funding due to minimum per pupil funding (primary pupils)	9	£3,484	311,757		311,757	0.1%	
Additional funding due to minimum per pupil funding (Secondary schools with KS3 & KS4 pupils)	21	£4,778		3,752,133	3,752,133	1.1%	
Additional funding due to minimum per pupil funding (Secondary schools with KS4 pupils only)	0	£5,076		0	0	0.0%	
Total Minimum Pupil Funding Uplift			311,757	3,752,133	4,063,890	1.1%	
Funding Floor Protection			£	£	£		
Additional Funding due to Funding Floor Protection (min 1% per pupil increase over 17/18 baselines)			1,535,269	448,071	1,983,340	0.6%	
Total Funding Floor Protection			1,535,269	448,071	1,983,340	0.6%	
Minimum Funding Guarantees & Capping			£	£	£		
MFG protections (based on min extra of 0.5% per pupil)	0.00%		322,889	231,599	554,488	0.2%	
Capping reductions based on no gains per pupil above	2.986%		-1,302,456	0	-1,302,456	-0.4%	
Difference			-979,568	231,599	-747,969	-0.2%	
Total budget allocations after ACA, MFG, CAP, Minimum Per Pupil Funding Uplift applied but before De-delegation			192,605,935	162,645,556	355,251,492	100.0%	
Proposed de-delegation for maintained schools only (takes into account anticipated conversions by 9/1/18)							
		Maintained Pupils	Rate	Primary	Secondary	Total	
			£	£	£	£	
Targeted Intervention & Support Primary		36,681	£9.27	340,033		340,033	0.1%
Targeted Intervention & Support Secondary		3,881	£0.00		0		
Union Facilities Primary		36,681	£3.05	111,877		111,877	0.0%
October Pupil Increases (above threshold)		36,681	£10.53	386,251		386,251	0.1%
Education Functions Primary (statutory duties previously covered by ESG general duties)		36,681	£5.00	183,405		183,405	0.1%
Education Functions Secondary (statutory duties previously covered by ESG general duties)		3,881	£5.00		19,405	19,405	0.0%
Total de-delegation funds available to maintained schools		40,562		1,021,566	19,405	1,040,971	0.3%
Total budget allocations after MFG,CAP and de-delegation			191,584,369	162,626,151	354,210,521	99.7%	

Summary of changes between 2018/19 and 2019/20.

Annex D

Reduce Factors by %

Primary

Factor	Measure	19/20 Final Units	18/19 Final Units	Diff to Final	Diff to Final %	19/20 Final £	18/19 Final £	Diff to Final £	Diff to Final %
Deprivation	FSM6	8,898	9,049	-151	-1.7%	4,782,578	4,886,462	-103,884	-2.2%
	FSM	5,380	4,695	685	14.6%	2,356,020	2,065,586	290,434	12.3%
	IDACI F	4,244	4,230	15	0.4%	844,947	845,913	-966	-0.1%
	IDACI E	3,770	3,865	-95	-2.5%	900,711	927,698	-26,987	-3.0%
	IDACI D	1,906	1,886	19	1.0%	682,800	679,105	3,695	0.5%
	IDACI C	1,876	1,899	-24	-1.3%	728,059	740,793	-12,735	-1.7%
	IDACI B	2,253	2,189	65	3.0%	942,028	919,188	22,840	2.4%
	IDACI A	322	324	-2	-0.6%	184,517	186,570	-2,052	-1.1%
Prior Attainment	Low Att 1 - 6	17,155	16,311	844	5.2%	17,451,166	17,126,783	324,384	1.9%
AWPU	NORs	47,662	47,514	148	0.3%	130,317,085	130,520,483	-203,398	-0.2%
EAL	3 Years	2,377	2,406	-29	-1.2%	1,218,620	1,238,958	-20,339	-1.7%
Lump Sum	Per School	246	247	-1	-0.4%	26,934,218	27,170,000	-235,782	-0.9%
Sparsity	Tapered - NFF	30	29	1	3.4%	581,497	573,231	8,266	1.4%
Split Sites	Eligible Schools	3	3	0	0.0%	158,814	159,887	-1,073	-0.7%
Rates	Per School					2,346,801	2,089,310	257,490	11.0%
Rents	Eligible Schools					147,190	129,451	17,740	12.1%

Total Pupil Led & School Led

190,577,051 190,259,418 317,633 0.2%

ACA	x 1.00618					1,161,425	1,161,164	261	0.0%
MPL uplift	19/20 £3,484, 18/19 £3,300					311,757	47,725	264,032	84.7%
Funding Floor	19/20 1% greater 17/18					1,535,269	0	1,535,269	100.0%
MFG Protection	19/20 0% MFG, 18/19 MFG 0.5%					322,889	1,069,380	-746,491	-231.2%
CAP	19/20 2.99%, 18/19 5.40%					-1,302,456	-2,001,724	699,267	-53.7%

Total ACA, MPL, FF & MFG

2,028,883 276,545 1,752,338 86.4%

Total

192,605,934 190,535,963 2,069,971 1.1%

Reduce Factors by %

Secondary

Factor	Measure	19/20 Final Units	18/19 Final Units	Diff to Final	Diff to Final %	19/20 Final £	18/19 Final £	Diff to Final £	Diff to Final %
Deprivation	FSM6	5,927	6,010	-83	-1.4%	4,631,165	4,717,712	-86,547	-1.9%
	FSM	3,038	2,574	464	18.0%	1,330,507	1,132,599	197,907	14.9%
	IDACI F	2,604	2,544	59	2.3%	751,528	737,824	13,704	1.8%
	IDACI E	2,191	2,178	13	0.6%	850,690	849,455	1,235	0.1%
	IDACI D	1,021	999	22	2.2%	523,349	514,275	9,074	1.7%
	IDACI C	1,058	1,030	28	2.7%	589,922	577,031	12,891	2.2%
	IDACI B	1,258	1,188	70	5.9%	751,465	713,039	38,426	5.1%
	IDACI A	206	203	3	1.5%	166,212	164,466	1,746	1.1%
Prior Attainment	Low Att 7-11	6,110	5,828	282	4.8%	9,426,430	9,034,116	392,314	4.2%
AWPU	KS3	19,888	19,501	387	2.0%	76,463,301	75,325,538	1,137,763	1.5%
	KS4	12,732	12,427	305	2.5%	55,580,572	54,502,461	1,078,112	1.9%
EAL	3 Years	345	392	-47	-12.1%	475,082	543,551	-68,469	-14.4%
Lump Sum	Per School	40	40	0	0.0%	4,379,548	4,400,000	-20,452	-0.5%
Sparsity	Tapered - NFF	5	5	0	0.0%	171,018	160,117	10,901	6.4%
Split Sites	Eligible Schools	0	0	0	0.0%	0	0	0	0.0%
Rates	Per School					1,159,093	1,063,664	95,429	8.2%
Rents	Eligible Schools					0	0	0	0.0%

Total Pupil Led & School Led

157,249,882 154,435,848 2,814,034 1.8%

ACA	x 1.00618					963,871	947,083	16,788	1.7%
MPL uplift	19/20 £4,778, 18/19 £4,600					3,752,133	1,361,138	2,390,996	63.7%
Funding Floor	19/20 1% greater 17/18					448,071	0	448,071	100.0%
MFG Protection	19/20 0% MFG, 18/19 MFG 0.5%					231,599	431,573	-199,975	-86.3%
CAP	19/20 2.99%, 18/19 5.40%					0	-252,511	252,511	0.0%

Total ACA, MPL, FF & MFG

5,395,674 2,487,283 2,908,391 53.9%

Total

162,645,556 156,923,131 5,722,425 3.5%